

CALLS FOR IMPLEMENTATION WORK ON CHILD TRAFFICKING



**UNIVERSITY OF
GEORGIA**

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OVERVIEW

Title: Calls for Implementation Work on Child Trafficking

Date Issued: April 30, 2020

Full Proposal Deadline: June 30, 2020, 5:00 PM US Eastern Standard Time. Please submit full proposals to apries-web@uga.edu (strongly preferred) or mail to the address indicated below by this deadline.

Dr. Claire Bolton, Program Manager
African Programming and Research Initiative to End Slavery
School of Social Work, 279 Williams Street,
Athens GA, 30602, USA

Synopsis of Program: The African Programming and Research Initiative to End Slavery (APRIES) is seeking partners to implement impactful anti child-trafficking programs and/or policy work in Sierra Leone and Guinea, resulting in a measurable reduction in baseline reporting in our target communities and an increase in the number of victims served. These programs are to be located in the Kenema, Kailahun, and Kono areas of Sierra Leone and Boke and Mamou areas of Guinea. Successful applicants will further develop program plans in close collaboration with APRIES. Due to the COVID-19 outbreak, the precise start date of programs is to be determined.

Award Amount: The ceiling for each award is US \$450,000 for the entire program period.

Eligibility: Non-governmental organizations (NGOs), international non-governmental organizations (INGOs), civil society organizations, community-based organizations (CBOs), and public international organizations (PIOs) that have experience in anti-trafficking work and the ability to operate in Sierra Leone (particularly Kenema, Kailahun, and Kono) or Guinea (particularly Boke and Mamou) are eligible. Organizations with no prior experience in the target country; organizations with no prior experience in anti-trafficking work; government agencies; and private entities are not eligible to apply. Applicants will need to demonstrate their capability to implement successful programs in the countries in which they intend to work.

If you have questions about the program prior to the deadline, please contact:

Dr. Claire Bolton
Program Manager
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BACKGROUND AND PROGRAM DESCRIPTION

I. Background and Focus

The University of Georgia Research Foundation (UGARF) has funding from the Program to End Modern Slavery at the U.S. Department of State Office to Monitor and Combat Trafficking in Persons (TIP Office) to estimate and reduce the prevalence of child trafficking in the West African countries of Sierra Leone and Guinea. The African Programming and Research Initiative to End Slavery (APRIES), a consortium of researchers from the University of Georgia (United States) and University of Liverpool (United Kingdom), in research partnership with Makerere University (Uganda), manage the grant. APRIES uses a collective impact approach in all its work, including research, programming, monitoring, and evaluation. The *goals* of our project are to:

- 1) Collect, analyze, and establish robust baseline data on the prevalence of child trafficking in Kenema, Kailahun, and Kono areas (Sierra Leone) and Boke and Mamou areas (Guinea).
- 2) Enhance the quality and scope of our implementing partners’ anti-child trafficking operations resulting in a measurable reduction in baseline reporting in target communities and an increase in number of victims served from baseline by April 2023.

In order to meet these goals, we are seeking implementing partners who will receive subawards to implement impactful programs starting in 2020 and ending by Spring 2023. Activities will take place in the Kenema, Kailahun, and Kono areas in Sierra Leone and the Boke and Mamou areas in Guinea. In Sierra Leone, we have found the following child trafficking sectors to be particularly problematic: domestic servitude, sex trafficking, forced Okada/taxi driving, and forced labor in artisanal mining. In Guinea, we have found the following child trafficking sectors to be particularly problematic: agriculture, artisanal mining, and street work (e.g. forced begging).

The impactful programs will respond to identified service and/or policy gaps that APRIES will further discuss with the successful applicants. These might include strengthening anti-trafficking policies and laws, community education and sensitization in the area of child trafficking, provision of evidence-informed psychosocial and workforce training for survivors of trafficking, evidence-informed reintegration programs, or programs that increase the identification of vulnerable persons or victims of child trafficking. APRIES will be closely involved in further developing program proposals with successful applicants.

Purpose of Implementation

Sierra Leone

The subawards will be used for programming and/or policy advocacy projects that measurably reduce the prevalence of child trafficking as well as increase the number of victims served in Kenema, Kailahun, and Kono. Priority will be given to programs that already demonstrate evidence of effectiveness and have a clear strategy to accelerate positive impact in measurably reducing child trafficking. Our goal is to measurably reduce child trafficking in the target areas. We encourage child trafficking survivor-centered approaches.

Existing data from Kenema, Kailahun, and Kono highlight the need to focus our prevalence reduction efforts on the traditional *Menpikin* practice. *Menpikin* involves extended family members taking children away from their homes, frequently with their parents' permission, with the promise of providing children with an education that would otherwise be unaffordable for parents. Although this traditional *Menpikin* practice is generally used to assist underprivileged children, it is reportedly serving as a means to traffic some children. Some of these children are not provided with the promised education; rather they are taken from rural to urban areas and subjected to forced labor in street trading, domestic servitude, mining, agriculture, commercial sex, and/or Okada (motorcycle) riding. Our efforts focus on programs and policies that acknowledge the role of poverty and lack of secondary education in rural areas as key drivers and vulnerability factors of *Menpikin* practices.

We hinge our programs on strengthening policies that can prosecute perpetrators, programs that protect victims of trafficking and exploitation, and programs that aim at preventing trafficking in the first place. As such, approaches that are skillfully integrated within existing social services (e.g., child abuse services) are encouraged and will be given priority. Proposals should demonstrate a clear understanding of the national and local child protection systems and the role of paramount chiefs within the judicial system. Implementing partners may or may not be based in Kenema, Kailahun, and Kono but will work in these areas for this project.

Proposed activities may include one or more of the following components:

Component 1: Strengthening the Prosecution of Perpetrators

We seek to fund partners that can:

- Enable local and/or national entities to implement the existing Anti-Trafficking Act, especially in relation to *Menpikin* and other forms of child trafficking practices with a focus in the areas of Kenema, Kono, and Kailahun.
- Advocate for effective policies and laws that regulate *Menpikin* and other forms of child trafficking practices in ways that protect survivors; empower local authorities such as paramount chiefs; and result in successful prosecutions of traffickers. Collaborations with the Ministry of Justice are highly desired.

- Provide development opportunities (e.g., trainings) to national and local entities with enforcement authority focused on understanding and/or reducing *Menpikin* and other forms of child trafficking practices with a focus on the areas of Kenema, Kono, and Kailahun. Advance efforts that hold accountable actors who exploit children under the *Menpikin* practice.

Component 2: Protection of Child Trafficking Survivors

We seek to fund partners that can:

1. Provide appropriate reintegration services and/or shelter to survivors of *Menpikin* and other forms of child trafficking practices.
2. Provide life skills and workforce training to survivors of child trafficking in order to reduce poverty, a key driver of *Menpikin* and other forms of child trafficking practices.

Component 3: Prevention of Child Trafficking

We seek to fund partners that can:

1. Implement community sensitization efforts in close collaboration with local leadership structures with the goal of changing attitudes, practices, behavior, and knowledge linked to *Menpikin* and other forms of child trafficking, especially targeting parents of younger children.
2. Strengthen and develop partnerships among administrative and traditional leaders as well as law enforcement officials in order to prevent all forms of child trafficking. For example, programs that seek to encourage enrollment in secondary education for children who are vulnerable to child trafficking will be considered.

Guinea

The subawards will be used for programming and/or policy projects that measurably reduce the prevalence of child trafficking as well as increase the number of victims served in Boke and Mamou, Guinea. Priority will be given to programs that already demonstrate evidence of effectiveness and have a clear strategy to accelerate positive impact in reducing child trafficking. Our goal is to measurably reduce child trafficking in the target areas. We encourage survivor-centered approaches.

Existing data from Boke and Mamou highlight the need to focus our prevalence reduction efforts on the practice of taking orphans and children from poor families and promising them work or education elsewhere, but then forcing them to work for no or little pay instead of providing an education or before offering an education. This practice often involves extended family members, religious groups, or other trusted individuals or organizations taking children away from their homes, frequently with their parents' permission, with the promise of providing children with an education that would otherwise be unaffordable for parents.

Although this traditional practice is generally used to assist underprivileged children, it is reportedly serving as a means to traffic some children who are taken from rural to urban areas and subjected to forced labor in street trading, domestic servitude, forced begging, and/or commercial sex; some children are also exploited in the mining and agricultural sectors. Our efforts focus on programs and policies that acknowledge the role of poverty and lack of secondary education in rural areas as key drivers and vulnerability factors for child trafficking.

We hinge our programs on strengthening policies that can prosecute perpetrators, programs that protect victims of trafficking, and programs that aim at preventing trafficking in Boke and Mamou. As such, approaches that are skillfully integrated within existing social services (e.g., child abuse services or local anti-trafficking councils) are encouraged and will be given priority. Proposals should demonstrate a clear understanding of the national and local child protection systems and the role of paramount chiefs within the judicial system. Implementing partners may or may not be based in Boke and Mamou but will work in these areas for this project.

Proposed activities may include one or more of the following components:

Component 1: Strengthening the Prosecution of Perpetrators

We seek to fund partners that can:

1. Enable local and/or national entities to implement the existing anti-trafficking laws and support the child protection systems in Boke and Mamou.
2. Advocate for effective policies and laws that regulate child trafficking practices in ways that protect survivors, empower local authorities such as paramount chiefs, and result in successful prosecutions of traffickers. Collaborations with the Ministry of Justice, the Ministry of Social Affairs, and/or Territorial Administrative units are highly desired.
3. Provide development opportunities (e.g., trainings) to national and local entities with enforcement authority focused on understanding and/or reducing child trafficking practices to hold accountable actors who exploit children.

Component 2: Protection of Child Trafficking Survivors

We seek to fund partners that can:

1. Provide appropriate reintegration services and/or shelter to survivors of child trafficking practices.
2. Provide life skills and workforce training to survivors of child trafficking in order to reduce poverty, a key driver of forced begging and other forms of child trafficking.

Component 3: Prevention of Child Trafficking

We seek to fund partners that can:

1. Implement community sensitization efforts in close collaboration with local leadership structures with the goal of changing attitudes, practices, behavior, and knowledge linked to child trafficking, especially targeting parents of younger children.
2. Strengthen and develop partnerships among administrative and traditional leaders as well as law enforcement officials in order to prevent all forms of child trafficking. For example, programs that seek to encourage enrollment in secondary school education for children who are vulnerable to child trafficking will be considered.

II. Full Timeline

Due to the COVID-19 outbreak, the precise start date of programs is to be determined.

June 30, 2020	Deadline for submission of applications
July-August 2020	Awardees notified
August 2020	Award documents finalized
September-October 2020	Co-design of programs with APRIES with evidence from baseline data and training of awardees on program implementation and requirements
TBD 2020	Implementation of programs begins
March 31, 2023	End of program activities

AWARD INFORMATION

Funding: The ceiling for each award is US \$450,000 for the entire program period.

Program Period: Programs will start at a date to be determined in 2020 and end by March 31, 2023.

ELIGIBILITY

Eligible Organizations:

Non-governmental organizations (NGOs), international non-governmental organizations (INGOs), civil society organizations, community-based organizations (CBOs), and public international organizations (PIOs) that have experience in anti-trafficking work and the ability to operate in Sierra Leone (particularly Kenema, Kailahun, and Kono) or Guinea (particularly Boke and Mamou) are eligible. Organizations with no prior experience in the target country; organizations with no prior experience in anti-trafficking work; government agencies; and private entities are not eligible to apply. It is the responsibility of applicants to demonstrate their capacity to successfully implement programs in the areas in which they propose to work. Through this open, transparent, and competitive bid, and to enable us to meet our goals, we are seeking local implementing partners who may be non-governmental organizations (NGOs), international non-governmental organizations (INGOs), civil society organizations, local

community-based organizations (CBOs), or public international organizations (PIOs), or a partnership or coalition incorporating several types of organization. Government agencies are not allowed to apply for this opportunity. Selected implementing partners will be required to comply with all the articles that will be included in the memoranda of understanding (MOUs). Organizations that have prior experience in anti-trafficking work in the Boke and Mamou areas (Guinea) and Kenema, Kailahun, and Kono areas (Sierra Leone) are especially encouraged to apply.

Successful applicants will demonstrate capacity to manage US government (USG) funding in an ethical and prudent way. Selected applicants will be expected to provide important documentation prior to receiving an award that includes: incorporation or registration certificate; list of board of directors or trustees; organizational chart; written accounting policies and procedures; standard procurement manual; written policy for travel expenses; and the last three years of audited financial statements. In addition to the proposed program narrative, application documents might include at least one letter of support from a local government agency or other NGO/INGO/PIO/CBO/civil society partners, a logic model, a theory of change, a timeline, as well as a detailed budget and budget narrative. See Application and Submission Information for the full list of documents to be submitted. Implementing partners will be required to adhere to relevant USG foreign assistance terms and conditions.

Number of Proposals Per Organization:

One. Organizations can apply as consortia or apply independently but cannot submit applications as part of a consortium in addition to an independent application.

Cost-Sharing:

Cost-sharing is not required for this application but is allowable. Cost-share funds will be beholden to U.S. government foreign assistance rules and regulations.

APPLICATION AND SUBMISSION INFORMATION

Preparation

The following components must be included in all applications. All application documents must be in English. Please use an easily readable font such as Calibri, Arial, or Times New Roman in no more than 12 pt. and no less than 10 pt. Proposals should be single-spaced and have 1-inch margins on all sides. Failure to meet these requirements may result in disqualification.

A. Signed Cover Page

Please include the following information, in the following order, on a single cover page:

1. Applicant Organization
 - a. Contact Address

- b. Contact Phone Number
 - c. Contact Email Address
2. Collaborating Organization(s) (if applicable)
 - a. Contact Address(es)
 - b. Contact Phone Number(s)
 - c. Contact Email Address(es)
3. Program Title
4. Selected Country and Area(s)
5. Total Amount Requested
 - a. Total Direct Costs
 - b. Total Indirect Costs
6. Program Dates
7. Principal Investigator
8. Organizational DUNS/EIN Number (required for all applicants: see <http://fedgov.dnb.com/webform/index.jsp>)
9. Contracting Officer
10. Contracting Officer's Signature

B. Proposal Summary

In **one single-spaced page**, summarize the problem statement, program goals, and program activities.

C. Program Narrative

Please include the following components in no more than **eight single-spaced pages**.

- a. **Introduction and Theory of Change** (suggested 1 page)

Provide a succinct introduction to the program. Give an overview of the program location(s), goals, approach, and team. Explain your **theory of change** regarding the trafficking problem to be addressed (e.g., resources, relationships, and strategies needed to effect lasting change). Summarize the program or policy you intend to implement.

- b. **Program Goals, Objectives, and Deliverables** (suggested 1 page)

The overall goal of the project is to reduce child trafficking prevalence. Describe the program goals, objectives, and deliverables. The objectives must be specific, measurable, achievable, realistic, and time-bound, e.g. successfully reintegrate 25 female survivors of trafficking by 2023.

- c. **Program Approach** (suggested 4 pages)

Provide a detailed account of your proposed program approach. Keep in mind that APRIES will work with selected applicants to further design and refine the approach. Ensure that the following information is discussed in this section:

1. Selected country and hotspots.
2. Core program components (e.g., services, awareness campaigns, protection and/or prosecution advocacy).
3. Strategies to successfully implement components as relevant to the type of program (e.g., how you will identify survivors in need of services; how you will design and deliver awareness campaigns; how you will design and carry out protection and/or prosecution advocacy efforts).
4. Strategy for working with survivors of trafficking to inform and improve the proposed approach throughout program implementation.
5. Strategy for collaborating and coordinating with relevant programs and efforts carried out by government, NGOs, and/or the private sector.
 - a. If you have existing in-country relationships, clarify how you will further strengthen these relationships. If you do not have previously existing relationships, detail how you will build these relationships.
6. Consideration of risk and ethics of proposed approach.
7. Description of how your organization will practice social distancing and other best practices to minimize the transmission of the COVID-19 virus during programming.

d. **Management Plan** (suggested 1 page)

Describe the plan for managing the implementation program. Be sure to clearly delineate each team member's role and outline a clear organizational structure. An organizational chart is encouraged in this section. Explain how you will measure success and monitor progress toward your objectives.

e. **Qualifications of Team** (suggested 1 page)

Detail the program team's capacity to successfully carry out the proposed work (e.g. previous experience in the country/sector/population, previous experience using the approaches proposed). Highlight any previous work that demonstrates this capacity, and detail individual team members' specific expertise and experience that will lead to the success of the proposed program. Highlight only the most relevant qualifications of individual members. CVs can be used to provide further details.

D. Logic Model

Provide a logic model that demonstrates the relationships between the resources, activities, and anticipated impacts that you hope to achieve in the proposed program.

E. Timeline

Provide a timeline of the proposed activities. Since the precise start date is to be determined in light of the COVID-19 pandemic, please outline in weeks or months the expected length for different project activities, ensuring that the project ends by March 31, 2023.

F. Budget

Each proposal must contain a budget for the full program period. Please provide a breakdown or spreadsheet showing costs in each of the budget categories listed below, with detailed calculations showing estimation methods, quantities, unit costs, and other similar detail per program year. Any cost-share presented must be broken down according to line items. (Note that proposed cost-share must adhere to U.S. government foreign assistance rules and regulations during program implementation). Applicants may request funds under any of the categories below if the item and amount are considered necessary, reasonable, allocable, and allowable under 2 CFR § 200.

Budget amounts must be presented in United States dollars. Please use the currency converter here: <https://www.oanda.com/currency/converter/>.

Because the precise start date of the program is unknown, please approximate a budget for 2020, knowing that amounts may change when the precise start date is determined.

Personnel - For each staff person, provide information such as job title, time commitment to the program as a percentage of full-time equivalent, annual salary (or wage rate), and salary from grant funds.

Fringe Benefits - Provide a breakdown of the amounts and percentages that comprise fringe benefit costs for employees, including health insurance, FICA, retirement insurance, and taxes. List fringe benefit costs separately from salary costs and explain how benefits are computed for each category of employee.

Travel - Identify staff and participant travel. Rates of maximum allowance for U.S. and foreign travel are available at www.fedtravel.com. Per diem rates may not exceed the published USG allowance rates, but applicants may use lower per diem rates.

Equipment - For each type of equipment requested, describe the equipment, the cost per unit, the number of units, and the total cost. Equipment is defined as tangible property having a useful life of more than one year and an acquisition cost of \$5,000 or more per item.

Supplies - List items separately using unit costs (and the percentage of each unit cost being charged to the grant) for items such as photocopying, postage, telephone/fax, printing, and

office supplies. Applicants should include an itemized list of any personal protective equipment (PPEs) and supplies that they will need to practice hygiene and sanitation methods required to mitigate transmission of the COVID-19 virus during data collection.

Contractual - Provide the costs of all contracts for services and goods, except for those that belong under other categories (such as equipment, supplies, construction, etc.). For each subaward or contract known at the time of application, provide a detailed line-item breakdown explaining specific costs and services. If consultants will be used in the grant, provide all costs related to their activities, including travel and per diem costs.

Other Direct Costs - (These will vary depending on the nature of the grant.) - Provide computations for all other costs. These costs, where applicable and appropriate, may include but are not limited to insurance, food, professional services, space and equipment rentals, stipends, telephone, and electricity.

Indirect Charges - Indirect charges are costs that have been incurred for common or joint objectives of an organization and cannot be readily identified with a particular cost objective. These costs are determined by the recipient's accounting system's definition. Generally, a negotiated indirect cost rate agreement (NICRA) is not warranted unless an organization has many U.S. government awards at one time.

Should an applicant not possess a NICRA, indirect expenses stated in the budget must be based on a de minimis rate of 10 percent of modified total direct costs. Modified total direct costs means all direct salaries and wages, applicable fringe benefits, materials and supplies, services, travel, and up to the first \$25,000 of each subaward (regardless of the period of performance of the subawards under the award). Modified total direct costs excludes equipment, capital expenditures, charges for patient care, rental costs, tuition remission, scholarships and fellowships, participant support costs, and the portion of each subaward in excess of \$25,000. Other items may only be excluded when necessary to avoid a serious inequity in the distribution of indirect costs, and with the approval of the federal cognizant agency for indirect costs.

G. Budget Narrative

This section is a brief, two-to-three sentence explanation of each line item that justifies identified costs.

Personnel - Identify staffing requirements by each position title with a brief description of duties, percentage of time dedicated to the program(s), work locations, and other justifications for these costs as they relate to the program.

Fringe Benefits - Provide an explanation of fringe costs and how they are calculated.

Travel - Provide a description of travel costs, including the purpose of the travel, how the travel relates to the program, and who will be traveling under these costs.

Equipment - Provide justification for any planned equipment purchase/rental for the program. Note that equipment is defined as tangible property having a useful life of more than one year and an acquisition cost of \$5,000 or more.

Supplies - Describe general categories of supplies and their direct use for the program.

Contractual - Describe each contractual or consultant cost and outline the necessity of each for the program.

Other Direct Costs - Provide a narrative description and a justification for each cost under this category and describe how the costs specifically relate to this program.

Indirect Charges - Describe the cost rate used to calculate indirect charges.

H. CVs

CVs for each person who will serve as key personnel on the program should include educational attainment, employment history, any specialized training, and any publications. Each CV should be no more than **two single-spaced pages** in length.

I. Facilities and Equipment

A description of the physical resources already available (e.g., does not need to be purchased) to the applicant for the proposed program in no more than **two single-spaced pages**. Please include office space, vehicles, computers, and any software programs already available to the applicant.

J. Letters of Support

Provide 3 signed letters of support from local government officials and/or other NGO/INGO/PIO/CBO/civil society partners detailing the applicant's ability to successfully implement the proposed program.

Submission Instructions

Please email fully completed applications to apries-web@uga.edu.

While online applications are strongly encouraged, we will also accept applications by mail to the address below. Applicants who choose to mail their application are responsible for ensuring that the full package reaches the APRIES office by June 30, 2020.

Mail to:

Dr. Claire Bolton, Program Manager
African Programming and Research Initiative to End Slavery
School of Social Work
279 Williams Street,
Athens GA, 30602, USA

All applications must be received by **June 30, 2020, 5:00 PM US Eastern Standard Time.**

REVIEW PROCESS

The APRIES team will make a shortlist of finalists, which will be reviewed by the U.S. Department of State Office to Monitor and Combat Trafficking in Persons (TIP Office). APRIES and the TIP Office will then make final decisions on applicants to be awarded.

Review Criteria:

- Applications will be evaluated based on the following criteria:
 1. **Approach:** The proposed project narrative demonstrates a clear, realistic, ethical program plan that can be achieved in the time frame with appropriate risk assessment and high likelihood of meeting goal to decrease prevalence of child trafficking in target regions and sectors. Clear logic model, timeline, and theory of change are important factors.
 2. **Team:** The applicant organization demonstrates highly relevant previous experience; a staffing plan, including special qualifications and language capacity; and strong capacity and management plans to successfully carry out the proposed project. This includes the applicant's established relationships with prospective collaborators. Resources are available to ensure project feasibility.
 3. **Budget:** The proposed budget is complete and includes all the costs of any personnel, supplies, and activities required by the projects. The cost items and amounts are deemed necessary and reasonable and the project's needs are deemed feasible within the budget presented.

AWARD ADMINISTRATION

Prior to receiving an award, selected applicants will be expected to provide important documentation including incorporation or registration certificate; list of board of directors or

trustees; organizational chart; written accounting policies and procedures; standard procurement manual; written policy for travel expenses; and the last three years of audited financial statements.

Funds will be disbursed on a cost reimbursable basis and upon receipt of an invoice from Sub-recipient not more often than monthly. Upon receipt of sufficient justification and approval from APRIES, advance payments may be made. Requests should be submitted to the key APRIES contact for this solicitation and include a justification for the advance, the amount of advance, and the time period in which it is to be expended in writing. Approval of an advance will hinge on approval of APRIES' Sponsor.

Awardees will be required to submit quarterly, annual, and final reports over the course of the program period. Reports should provide both financial and narrative reporting on the program to date in English.

Based upon the results of the pre-award risk assessment, APRIES may consider imposing specific subaward conditions upon an awardee, as appropriate.¹ These additional subaward conditions may include items such as the following:²

- (i) Requiring payments only as reimbursements and not allowing advance payments;
- (ii) Withholding authority to proceed to the next phase until receipt of evidence of acceptable performance within a given period of performance;
- (iii) Requiring additional, more detailed financial reports;
- (iv) Requiring additional program monitoring;
- (v) Establishing additional prior approvals.

Awardees will be required to comply with the conditions imposed by UGARF's award agreement issued by the U.S. Department of State including, but not limited to, the following:

- **Anti-Prostitution Policy and Requirements.** The U.S. government is opposed to prostitution and related activities, which are inherently harmful and dehumanizing, and contribute to the phenomenon of trafficking in persons. Grantees are required to agree to the following special conditions prior to a grant being awarded: (1) None of the funds made available herein may be used to promote, support, or advocate the legalization or practice of prostitution. Nothing in the preceding sentence shall be construed to preclude assistance designed to combat trafficking in persons, including programs for prevention, protection of victims, and prosecution of traffickers and others who profit

¹ 2 C.F.R. § 200.331(c).

² 2 C.F.R. § 200.207(b).

from trafficking in persons, by ameliorating the suffering of, or health risks to, victims while they are being trafficked or after they are out of the situation that resulted from such victims being trafficked.

- Training Certification. An organization receiving funds must agree to the following: “This organization hereby certifies that, to the extent practicable, persons or entities providing legal services, social services, health services, or other assistance have completed, or will complete, training in connection with trafficking in persons.” TVPA sec.107A(b)(1) (P.L. 110-457).
- STATE DEPARTMENT LEAHY AMENDMENT VETTING REQUIREMENTS: Funds provided under this award are subject to Section 620M of the Foreign Assistance Act of 1961, as amended, a provision titled “Limitation on Assistance to Security Forces” (the “Leahy Amendment”). Subsection (a) of that provision states: “(a) In General.—No assistance shall be furnished under this Act [the Foreign Assistance Act] or the Arms Export Control Act to any unit of the security forces of a foreign country if the Secretary of State has credible information that such unit has committed a gross violation of human rights.” Accordingly, none of the funds under this award may be used to provide training or other assistance to any unit or member of the security forces of a foreign country if the Department of State has credible information that such unit or individual has committed a gross violation of human rights.

In signing this award, the Recipient agrees to exercise due diligence to ensure compliance with the Leahy Amendment provision and Department of State policy, and to cooperate with the Department of State in implementation of the requirement.

The Department of State implements the Leahy Amendment requirement by vetting units or individuals proposed for training or other assistance to check for credible information of a gross violation of human rights by such units or individuals.

To facilitate Department of State vetting, the Recipient must provide the following information for proposed participants at least sixty (60) calendar days prior to commencing award activities. This information should be submitted to the U.S. embassy in the country where the award will be implemented in order to initiate Leahy vetting procedures:

Information needed: Full name, date of birth, country of birth, country of citizenship, gender, rank, title, and organizational affiliation. Please also include the activity and date that the activity will take place—if the person will participate throughout an

extended program, please note the timeframe. Participant information should be submitted in the format attached.

Information required for “security forces” personnel: The above information is needed for each member of a foreign police or military unit (security forces, broadly defined) who will participate in any activity under this award. This includes both civilian and military employees of security forces participating in any activities funded under this award, including training, workshops or meetings, conferences, or other activities.

The Recipient must collaborate with the relevant U.S. embassy on a case-by-case basis to determine if the Leahy requirement applies to specific activities or proposed participants. Individuals who are not members of the security forces but who participate in activities under the award (e.g., politicians, academics, etc.) generally do not need to be vetted.

Submission Deadline: Each candidate must be cleared under Leahy vetting in advance of participation in activities funded under this award. The vetting process typically takes approximately one month, but may take longer if there are a large number of candidates or if issues arise. Thus, all information on proposed candidates must be received by the embassy at least sixty (60) days in advance of the training event or other activity.

The Recipient agrees that it will not include any security forces candidate in training or other activities funded under this award until the State Department advises that the candidate has cleared Leahy vetting and is approved for participation.

Note on Pre-Award Risk Assessment

If the period of performance for the agreement is greater than one year, an additional risk assessment will be performed *annually* during the period of performance.

Monitoring Awardees

APRIES will conduct the following monitoring activities during the grant period with awardees:

- A. Review financial reports as required under the subaward agreement;
- B. Review performance reports as determined by the program’s logic model, with goal(s), objectives, activities, indicators, outputs, target and actual outcomes, and impact;
- C. Verify that an on-site review is being performed pursuant to the Uniform Guidance; and
- D. Follow up to ensure that the awardee takes appropriate action on any deficiencies.

Evaluating Awardees

APRIES will evaluate the following program areas:

- A. Needs Assessment - the needs of a target population;
- B. Program Design - the theory or rationale behind a program;
- C. Process and Program Relevance - how a program works, whether it is being implemented as planned, and whether it is appropriate;
- D. Efficiency - whether program activities are performed in the best possible way;
- E. Outcomes - whether the program is/was effective in producing short- or medium-term changes;
- F. Outputs - the tangible, immediate, and intended products or services resulting from a proposed project activity (e.g., number of survivors served)
- G. Impact - the long-term or sustained changes produced by a program, after activities have ended.

Formative Evaluations: ResilientAfrica Network will conduct an evaluation during program implementation, with the aim of improving performance and programming during implementation. These evaluations generally focus on program improvement, such as understanding whether implementation has been efficient or whether targets will be met. Example: mid-term evaluations with a focus on program design, performance, or process.

Summative Evaluations: ResilientAfrica Network will conduct an evaluation near the end of a program or after it has been completed. Summative evaluations focus on (a) understanding whether expected and unexpected outcomes occurred, (b) identifying factors that affected activities, outcomes, and impacts from occurring, (c) assessing the sustainability of results, and (d) drawing lessons that may inform future programming. Example: final evaluations, focusing on outcome and impact (what the program achieved).

FAQ AND CONTACTS

Frequently Asked Questions

Who should I contact if I have a question that is not covered by the information in this document?

For questions about the program, please write to apries-web@uga.edu.

Can organizations based in any country apply for this award?

Yes. Organizations that have the ability to operate in Sierra Leone (particularly Kenema, Kailahun, and Kono) or Guinea (particularly Boke and Mamou) are eligible. It is the responsibility of applicants to demonstrate their ability to work in the selected countries and hotspots.

How will the selection be made?

The selections will be made in consultation between APRIES and the TIP Office.

What are the criteria for assessment of applications?

Applicants must adhere to the strict requirements of the application and show a clear work plan, strong team, and comprehensive budget information to reduce the prevalence of child trafficking through protection of survivors, enhancing prosecution of perpetrators, and mechanisms that prevent child trafficking. A clear logic model and timeline as well as a theory of change will also be used to determine awards.

Can an organization submit (or be involved in) more than one application?

Organizations can apply as consortiums or independently but cannot submit applications as part of a consortium in addition to an independent application.

Can the proposal be an expansion of an existing project or program?

Yes, proposals may enhance existing programs but cannot duplicate existing efforts. APRIES will be closely involved in the co-design of programs with successful applicants.

Can governments or government agencies apply?

No. Government agencies cannot apply for this opportunity.

What definitional approach to addressing human trafficking should applicants use in their program design?

The U.S. government defines “trafficking in persons” as:

- Sex Trafficking – when a trafficker uses force, fraud, or coercion to compel a person to engage in a commercial sex act or when a trafficker causes a child who has not attained 18 years of age to engage in a commercial sex act.
- Forced Labor – when a trafficker recruits, harbors, transports, provides, or obtains a person for labor or services by using force, fraud, or coercion.

Trafficking in persons does not require the movement of a person. Under the Trafficking Victims Protection Act (TVPA) and generally consistent with the UN Protocol to Prevent, Suppress and Punish Trafficking in Persons (Palermo Protocol), individuals may be trafficking victims regardless of whether they once consented, they participated in unlawful acts their traffickers compelled them to commit, someone transported them into the exploitative situation, or they were simply born into a state of servitude. Under this solicitation, UGA will not support projects that use alternate definitions of trafficking.

Full Contact Information:

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Email is the strongly preferred mode of contact for this program.

OTHER INFORMATION

Important Definitions

Implementing partners are expected to be familiar with the following important definitions:

Child: person under the age of 18 (Trade and Development Act of 2000; ILO C. 182; UN Convention on the Rights of the Child).

Child Domestic Worker: “children who work in third-party private households under an employment arrangement. Child domestic workers engage in various tasks that include cleaning, cooking, gardening, collecting water, and caring for the children and the elderly. Child domestic workers sometimes have live-in arrangements, whereby they live in their employer’s household and work in exchange for room, board, and sometimes education.” (ILAB, 2017 Findings on the Worst Forms of Child Labor, p. 93).

Children’s Hazardous Unpaid Household Services: “the domestic and personal services a child performs within the child’s own household, under the following conditions: (a) for long hours; (b) in an unhealthy environment, including equipment or heavy loads; or (c) in dangerous locations.” (ILAB, 2017 Findings on the Worst Forms of Child Labor, p. 96).

Children’s Hazardous Work: “work which, by its very nature or circumstances in which it is carried out, is likely to harm the health, safety or morals of children” ... “is colloquially referred to as ‘hazardous work.’” (ILAB, 2017 Findings on the Worst Forms of Child Labor, p. 94, drawn from Article 3(d) of ILO C. 182).

Child Labor Trafficking: the recruitment, transportation, transfer, harbouring or receipt of a child for the purpose of labor exploitation.

Child Sex Trafficking: the recruitment, transportation transfer, harbouring or receipt of a child for the purposes of a commercial sex act. Forms of child sex trafficking include prostitution, “production, promotion, and distribution of pornography involving children and the use of children in sex shows (public or private)” (ILAB, 2017 Findings on the Worst Forms of Child

Labor, p. 94, as drawn from the definition on CSEC in the 1996 Declaration and Agenda for Action of the First World Congress Against the Commercial Sexual Exploitation of Children).

Child Trafficking: “the recruitment, transportation, transfer, harbouring or receipt of a child for the purpose of exploitation shall be considered ‘trafficking in persons’ even if this does not involve any of the means set forth in subparagraph (a) of this article [means set forth in Article 1, subparagraph (a) of the Palermo Protocol: “the threat or use of force or other forms of coercion, of abduction, of fraud, of deception, of the abuse of power or of a position of vulnerability or of the giving or receiving of payments or benefits to achieve the consent of a person having control over another person, for the purpose of exploitation.”]. Thus, the recruitment, transportation, transfer, harbouring, or receipt of a person under the age of 18 for any form of exploitative labor or commercial sex act is considered child trafficking.